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**APPENDIX XII**

**Southern California Edison Stakeholder Review Process**

## Southern California Edison Stakeholder Review Process

The Stakeholder Review Process (“Process” or “SRP”) is intended to provide the opportunity for Stakeholders to engage in a review of SCE’s Five-Year Transmission Investment Plan (“Five-Year Plan”) for transmission projects and expenditures so that Stakeholders can understand the need for and anticipated costs of projects that are not reviewed in the CAISO’s Transmission Planning Process (“TPP”) or Generator Interconnection and Deliverability Allocation Procedures (“GIDAP”).

The Process includes the provision of project and planning information, the use of the information, schedules for meetings and communications between SCE and Stakeholders, a process for Stakeholders’ information requests and feedback on information provided as part of the Process, a process for stakeholder feedback, and funding provisions.

### Stakeholder Review Process Provisions:

#### 1. Definitions

All capitalized terms used in this Appendix shall have the meanings as set forth below or as defined elsewhere in SCE’s Transmission Owner Tariff (“TO Tariff”).

- 1.1. Blanket-Specific Program: Capital expenditures for routine maintenance and compliance construction efforts that are grouped together into a single Capital Work Breakdown Structure (CWBS) under a blanket budget item with a Blanket PIN from an operational and accounting perspective.
- 1.2. Non-CAISO-Approved Project: Any SCE-owned electric network transmission Project that is not reviewed and approved in the California Independent System Operator Corporation (“CAISO”) Transmission Planning Process (“TPP”) or Generator Interconnection and Deliverability Allocation Procedures (“GIDAP”).
- 1.3. Project: An electric transmission Specific Project or Programmatic Project originating in a Blanket-Specific Program which SCE intends to include in its Federal Energy Regulatory Commission (“FERC”)-jurisdictional electric transmission rate base, including both Projects approved by the CAISO and Non-CAISO-Approved Projects.
- 1.4. Prioritization Procedures: Documents providing guidance on how to identify and prioritize whether a Project is needed, and how to prioritize the Project, including, if applicable and without limitation, the strategy and Procedure documents relied upon to include a Project in the Five-Year Plan.

- 1.5. Programmatic Project: Project that originates within a Blanket-Specific Program and receives a Work Order number.
- 1.6. Project Data Spreadsheet or “Spreadsheet”: The document described in Section 2.1.1 below and provided in Attachment 1 hereto.
- 1.7. Specific Project: Single construction effort in which all the estimated costs close to plant when the asset is placed in service.
- 1.8. Stakeholder: The California Public Utilities Commission (“CPUC”), any entity or group that procures SCE transmission service for itself or others, any entity or group that represents the interests of wholesale customers who pay for SCE transmission service, any municipally-owned utility, any Participating Transmission Owner in the CAISO, or any retail customer of SCE. In addition to the CPUC, Stakeholders include, without limitation, Los Angeles Department of Water and Power, the California Department of Water Resources, the Transmission Agency of Northern California, M-S-R Public Power Agency, City of Santa Clara, Modesto Irrigation District, and State Water Contractors and the Six Cities.<sup>1</sup>
- 1.9. Process: The Stakeholder Review Process described in this agreement.
- 1.10. Five-Year Investment Plan or “Five-Year Plan”: SCE’s five-year electric transmission Capital Budget and Forecast. It includes the Projects planned over the five years following the issuance of the plan that SCE believes are needed to meet the electric transmission system requirements over that period. The Five-Year Plan includes all Projects that SCE intends to include in its FERC-jurisdictional electric transmission rate base.
- 1.11. Critical Energy Infrastructure Information or “CEII”: Information as defined in 18 CFR § 388.113(c)(1), or successor regulation.
- 1.12. Authorization Documents: Documents prepared by SCE staff that describe the project and are used to obtain SCE management authorization to execute a project, as well as documents reflecting management authorization. This will include documents containing scope, timing, cost, etc. used to obtain project approval. Authorization Documents may also include any applicable CAISO, CPUC or other regulatory approvals, including related permitting or authorization to construct and authorization to recover Project costs. For any regulatory approval, SCE shall provide the associated docket or proceeding number.

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<sup>1</sup> The Six Cities are comprised of the Cities of Anaheim, Azusa, Banning, Colton, Pasadena, and Riverside.

1.13. Procedure: A Procedure is a SCE document, policy or work practice that:

- 1) Is a step-by-step instruction to perform tasks (“how” to do the work);
- 2) Is driven by the “what” described by a governing standard;
- 3) Includes or directs the user to all safety, health, and environmental instructions the user needs to perform the work safely, efficiently, and in compliance with external and internal requirements; and
- 4) May involve one or more SCE organizations, departments, functions, or compliance requirements.

## 2. **Project/Work Order Information**

2.1 Bi-Annual Information: SCE shall provide Stakeholders with the following information on July 1 and December 1 of each year, or such other dates mutually agreed to by SCE and Stakeholders.

2.1.1. A Project Data Spreadsheet containing the year-by-year Project-specific data identified in Attachment 1 hereto for all Blanket-Specific Programs, Programmatic Projects and Specific Projects: (a) identified in SCE’s then-effective Five-Year Plan; (b) making up capital expenditures for the current year, and forecasted capital expenditures in the next four years; and (c) making up capital expenditures in the prior four years (“Project Data Spreadsheet”).

2.1.1.1. The Project Data Spreadsheet shall include all Projects identified in Section 2.1.1, including those included in an approved CAISO Transmission Plan or GIDAP.

2.1.1.2. The Project Data Spreadsheet shall only include Specific Projects where the total capital expenditure is, or is expected to be, over \$1 million. The Project Data Spreadsheet shall report Specific Projects at the PIN Level.

2.1.1.3. The Project Data Spreadsheet shall only include forecast information for Blanket-Specific Programs that are expected to be over \$1 million at the CWBS Level.

2.1.1.4. The Project Data Spreadsheet shall separately report Programmatic Projects where the capital expenditure is, or is expected to be, over \$1 million. Programmatic Projects’ forecast information will be reported only to the extent that it is relied upon in SCE’s Five-Year Plan. For any Programmatic Project that is expected to be over \$1 million but does not yet have over \$1 million of recorded capital expenditure, the information reported will be

limited to Project Location (City and/or County), Project Description data fields, Work Order number, CWBS, and PIN, Project status, Current Projected In-Service Date, Original Projected Cost, and Current Projected Cost.

- 2.1.1.5. The data in the Project Data Spreadsheet shall be as complete and accurate as possible, and have a verifiable source where applicable.
  - 2.1.1.6. Each Project Data Spreadsheet shall identify the date range during which the Project list and related dataset were extracted from SCE's records.
  - 2.1.1.7. SCE shall highlight all new CWBS, PINs and /or Work Order numbers and any changes to existing Projects from the prior report.
  - 2.1.1.8. SCE shall make a public version of each Project Data Spreadsheet available to Stakeholders with confidential and Critical Energy Infrastructure Information ("CEII") redacted.
  - 2.1.1.9. SCE shall provide Stakeholders that have executed the Non-Disclosure Agreement provided in Attachment 3 a confidential version of each Project Data Spreadsheet without redactions as set forth in Section 7.7 below.
- 2.1.2. All Authorization Documents prepared for Projects not already in service in SCE's most current Project Data Spreadsheet not already provided to Stakeholders
- 2.1.2.1. SCE shall provide final versions of all initial and most recent Authorization Documents. These will include all Authorization Documents demonstrating approval by SCE's corporate capital governance committee (i.e. SCE's Finance & Risk Management Committee) for projects requiring authorization by such committee. SCE shall also provide final versions of all Authorization Documents prepared on or after the effective date of this Process, inclusive of any Authorization Documents relating to revisions to project(s) previously approved by SCE management.
  - 2.1.2.2. Authorization Documents may be redacted to remove customer information or other information not related to the Project in question, either by SCE staff or a contractor, and SCE may recover the contractor costs of such redactions through the Formula Rate.
  - 2.1.2.3. Where such redactions are necessary, the timing of the production of redacted Authorization Documents will be on a best efforts basis.

2.1.3. The most current version of all Prioritization Procedures not already provided to Stakeholders.

2.1.3.1. SCE shall identify the effective date of the document and/or the date it was relied upon, and the Prioritization Procedure the document is replacing, if any.

2.1.3.2. SCE shall produce and identify for Stakeholders any new or changed Prioritization Procedures on the same schedule as Project Data Spreadsheets.

2.2. Information Requests and Comments: Stakeholders may submit reasonable information requests and/or comments within a 45-day period that begins on the day following the production of bi-annual information identified in Section 2.1. Stakeholders may also submit reasonable information requests and/or comments within a 15-day period that begins on the day following a Stakeholder meeting. SCE shall make a good faith effort to respond to the information requests and/or comments within 15 business days after a Stakeholder request.

2.2.1. The scope of the information requests shall be limited to Projects included in the Project Data Spreadsheet including, without limitation:

2.2.1.1. A more detailed description of the Project;

2.2.1.2. A more detailed description of the Project's purpose and justification of need, including without limitation and to the extent such information exists:

2.2.1.2.1. Standards/requirements/policies supporting the need for the proposed Project;

2.2.1.2.2. Any fire or safety threat assessment, if available;

2.2.1.2.3. Inspection records or other information regarding the condition of any existing asset related to the proposed Project;

2.2.1.2.4. Technical or other analyses regarding the alternatives considered;

2.2.1.2.5. Any economic analyses (e.g., cost-benefit studies) of the Project;

2.2.1.2.6. The estimated cost of the Project and the methodology used to arrive at that estimate; and

2.2.1.2.7. Any analyses or documents used to obtain internal authorization for the Project.

2.2.2. The scope of comments shall be limited to information provided pursuant to Section 2.1 Projects included in the Project Data Spreadsheet.

### 2.3. Compliance

2.3.1. SCE shall make good faith efforts to provide the information in Sections 2.1 and 2.2 on the dates and within the timelines specified. If SCE determines that it will be unable to provide some portion of the information identified in Section 2.1 and 2.2 within the timeline specified, it will notify the Stakeholders in writing no later than five (5) business days before the due date that SCE will not be able to provide the information by that date, the reason(s) for the expected delay, and the expected date(s) the information will be provided.

2.3.2. Nothing in Sections 2.1 or 2.2 requires SCE to create or develop additional documentation and information and any response to a request for additional information will be limited to materials that SCE already has in its possession. For example, if a Project does not have a fire or safety threat assessment, SCE is not required, as a result of a request under this section, to create such a document.

## 3. Use of Information Provided During the Stakeholder Review Process

3.1. Stakeholders are not restricted from seeking to use Process data or information provided herein in multiple fora, including, without limitation, Department of Energy, FERC, CAISO, or CPUC proceedings, subject to compliance with confidentiality and/or CEII procedures.

3.2. SCE may substantively respond to or contest any Stakeholder document that includes or cites to information provided through the Process, but SCE will not object on the grounds that this Process prohibits any use of the Process data or information outside of the Process.

3.3. Nothing herein precludes a Stakeholder from challenging the designation of a document as confidential or CEII.

3.4. While the Process should provide Stakeholders with substantial information about SCE's Projects, nothing herein prevents Stakeholders or other interested parties from seeking further information from SCE regarding Projects through data or information requests in any other proceedings and nothing precludes the CPUC from issuing data requests under its own authority, subject to the following:

3.4.1. To the extent requests outside of the Process are duplicative of information provided through the Process, SCE may provide a reference to where the information was provided in the Process.

#### **4. Stakeholder Review Process Schedule, Meetings, and Communications**

- 4.1. The Process shall be effective upon the effective date of the settlement that includes this Process. If the Process becomes effective by December 1, 2020, SCE's obligations under the Process shall commence on December 1, 2020. If the Process is not yet effective by December 1, 2020, SCE has agreed as part of the *Offer of Settlement* filed on or about July 1, 2020, in Docket ER19-1553, to voluntarily commence the Process on December 1, 2020 except that expenses incurred for the retention of technical experts pursuant to Section 6 will not be paid until the Settlement Effective Date.<sup>2</sup> If FERC or a court of competent jurisdiction rejects or in any way modifies the Settlement in a way that is not acceptable to the parties to the Settlement, SCE will withdraw its voluntary agreement to commence the Process.
- 4.2. Stakeholder meetings shall occur on March 1 and September 1 of a calendar year, or as mutually agreed to by SCE and Stakeholders.
- 4.3. Where any deadline identified in this Process falls on a holiday or weekend, that deadline will be moved to the next business day after the holiday or weekend. Where a Stakeholder meeting falls on a holiday or weekend, that deadline will be moved to the second business day after the holiday or weekend.
- 4.4. To the extent reasonable, all Process meetings, whether in person or virtual, shall include SCE technical experts and employees knowledgeable about the Process and materials provided pursuant to Section 2. If a Stakeholder has questions regarding a specific Project, it shall identify the Project in writing to SCE at least fifteen (15) business days in advance of a Process meeting and, to the extent possible, identify preliminary questions and issues for discussion. SCE shall, on a good faith effort basis, have an employee knowledgeable about identified issues and any identified Projects at the meeting. In addition, to the extent reasonable and possible, Stakeholder representatives at Process meetings will include technical experts knowledgeable in transmission asset management.

#### **5. Stakeholder Feedback Process**

- 5.1. A Stakeholder may initiate discussions and provide feedback under this section for any matter, substantive or procedural, pertaining to the Process, provided that any substantive issue concerning a Project is limited to Projects: (a) with a forecasted total capital expenditure of \$1 million or more and (b) where no more than 25% of the forecasted capital expenditures have already been spent.

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<sup>2</sup> Settlement Effective Date is defined in Section 2.1 of the *Offer of Settlement* filed on or about July 1, 2020, in Docket ER19-1553.

- 5.2. The feedback process will be initiated when a Stakeholder sends written notice to SCE, with a copy to all Stakeholders (“Notice”). The Notice shall clearly state the Project or issue to be discussed, the specific concerns, and the relevant provisions of the Process.
- 5.3. Within thirty (30) calendar days of receipt of a Notice, designated representatives of the Stakeholder and SCE will meet and discuss the identified issues or concerns.
  - 5.3.1. Prior to such meetings, SCE and the Stakeholder shall exchange documents relied upon to support their positions on the issues identified in the Notice.
  - 5.3.2. SCE and the Stakeholder may include in such meetings counsel and technical support, as each deems appropriate.
  - 5.3.3. SCE and the Stakeholder may mutually agree to additional meetings.
- 5.4. The Stakeholder initiating the feedback process and SCE shall notify all Stakeholders in writing within ten (10) business days of the resolution of any issue or concern.
- 5.5. Nothing in this Section 5 shall limit the right of any Stakeholder to participate in the feedback process initiated by another Stakeholder, or to petition FERC, the CPUC, or any other regulatory body or court of competent jurisdiction concerning the issues or concerns identified in the Notice or SCE’s right to object to such petition.

## **6. Funding**

- 6.1. Beginning on the effective date of the Process, SCE shall make available up to \$500,000 per calendar year to fund the retention of technical experts knowledgeable in transmission asset management (“Experts”) chosen by, and under the direction of, the CPUC to participate in the Process, SCE Transmission Owner Tariff Rate Cases, CAISO Transmission Planning Process, and Annual Updates related to issues relevant to the Process. While there is no limitation on the CPUC’s use of the information produced by the Expert(s), any expense associated with the participation of the Expert(s) in SCE’s Transmission Owner Tariff Rate Case litigation—except for development of a protest or participation in settlement proceedings—may not be charged under this funding provision.
  - 6.1.1. If the effective date of the Process occurs after January 1 of a calendar year, the funding for that calendar year shall be prorated based upon the number of days remaining in the calendar year as of the effective date.
  - 6.1.2. The cost of the Experts will be considered a SCE network transmission expense to be recovered through SCE’s Formula Rate for the year in which the costs are paid by SCE to

the CPUC. SCE will record the actual expenses reimbursed to the CPUC in FERC Account 566. SCE will recover the actual annual recorded amount for a given year through the Annual Update filing process of its Formula Rate.

6.1.3. SCE and the Expert(s) chosen by, and working under the direction of, the CPUC shall enter into an agreement that includes the mechanism and details for the invoicing and remittance of funds before receiving funding from SCE pursuant to Section 6.1.

6.1.4. Subject to Sections 6.1, 6.1.1, 6.1.3 and 4.1, the Expert(s) may begin performing work eligible for funding on December 1, 2020 but SCE shall not provide any payment to the Expert(s) until after the effective date of the Process.

6.2. SCE shall recover, through the Formula Rate, its own actual costs, including costs related to the retention of contract employees and information systems modifications, that are incurred to produce the information required by the Process.

## 7. **Additional Provisions**

7.1. Term: The Process shall terminate December 31, 2023.

7.2. Changes: The Stakeholders and SCE waive their rights to unilaterally exercise their Section 206 and/or or Section 205 rights to terminate, amend, or revise the Stakeholder Review Process before November 1, 2023. Notwithstanding this limitation, the Stakeholders and SCE can mutually agree to changes to the Process and submit a Section 205 filing at any time to effectuate those mutually agreed-upon changes.

7.3. Negotiating Improvements: Beginning December 1, 2022, SCE shall initiate a process with Stakeholders to address improvements and any necessary changes to the Process or a possible successor process after December 31, 2023. SCE and the Stakeholders shall engage in good faith efforts to develop mutually agreeable changes. Such good faith efforts shall include, at a minimum, quarterly meetings among SCE and interested Stakeholders between December 1, 2022 and November 1, 2023.

7.4. CPUC Authority: Nothing herein shall be construed as a waiver of the CPUC's authority to establish a mandatory process to address SCE's Non-CAISO planning procedures, or to request data from SCE regarding its transmission assets, Prioritization Processes, or any other issue. SCE does not concede that the CPUC has the authority to take such actions, and SCE reserves the right to challenge any such actions taken by the CPUC.

- 7.5. Section 205 Rights: Except as set forth in Section 7.2 above, SCE may make a single-issue Section 205 filing to seek to change or cancel the Process, or to submit any other request for relief under any applicable provision of the FPA and/or FERC's regulations.
- 7.6. Section 206 Rights: Except as set forth in Section 7.2 above, nothing herein shall be deemed to limit in any way any Stakeholder's right to file unilaterally, pursuant to Section 206 of the FPA and the regulations thereunder, to seek to change or cancel the Process, or to submit any other request for relief under any applicable provision of the FPA and/or FERC's regulations.
- 7.7. CEII and Confidential Information: To the extent any of the materials and/or documents exchanged pursuant to the Process include CEII or otherwise confidential information, the producing party can redact that information from the documents and materials provided for public use. An unredacted copy of the materials and/or documents will be provided to parties through a secure web portal or other secure mechanisms maintained by SCE upon a party's execution of the Non-Disclosure Certificate associated with the Non-Disclosure Agreement provided as Attachment 3 hereto.

## ATTACHMENT 1

### PROJECT DATA SPREADSHEET TEMPLATE

<b>Line</b>	<b>Transmission Project Data Field</b>	<b>Input Terms and Descriptions</b>
1	Row/Line No.	Row/line number in spreadsheet. Include any FERC-jurisdictional electric transmission project: 1. the total cost of which will at any point equal or exceed \$1 million. This includes all construction activities included in each program with a total project cost of \$1 million or greater. and 2. for which there were capital expenditures in the last five years OR for which any future expenditures are anticipated in the next five years. Each project should have its own Row/Line No. in this spreadsheet.
<b>Project Description</b>		
2	Project Name	Include Project Name at date of report filing (identify all prior names of the project)
3	Location 1	Latitude and Longitude (in decimal degrees)
4	Location 2	City/Cities and County/counties
5	Project Description	General project overview: what, where, and why. Discuss all assets to be installed and include all capacities (MVA) and voltages (kV).
6	Project Description - What	Transmission line (new, reconductor, relocation), substation (breaker, transformer relay protection), etc. (provide list of all categories)
7	Project Description - Action taken	New, replacement, upgrade, etc. (provide list of all categories)
8	Related Projects	Identify all other projects in the spreadsheet that are operationally dependent on this project or vice versa and/or will be constructed in tandem with this project.
9	Primary Purpose	Select from the following to indicate the primary purpose of the project: Capacity Increase, Emergency Replacement, Infrastructure Enhancement, Infrastructure Replacement, Operations Support, Security, Work Requested By Others, or Other (if Other, include explanation in the "Notes" data field).

10	Secondary Purpose	Select from the following applicable secondary purpose(s) of the project, which include but are not limited to: 3rd Party Damage, Age/Condition, Age/Condition - 230/115/70/60 kV Relay Replacement, Age/Condition - 500 kV Relay Replacement, Age/Condition - Anti Climb Guards, Age/Condition - Insulator Replacement - Steel, Age/Condition - Insulator Replacement - Wood, Age/Condition - Raptor Protection - Steel, Age/Condition - Replace 230/115/70/60 kV Breakers, Age/Condition - Replace 230/115/70/60 kV Transformers, Age/Condition - Replace 500 kV Breakers, Age/Condition - Replace 500 kV Transformers, Age/Condition - Replace Boardwalks, Age/Condition - Replace Breakers, Age/Condition - Replace Civil Structures, Age/Condition - Replace Conductor, Age/Condition - Replace Insulators, Age/Condition - Replace Other Substation Equipment, Age/Condition - Replace Other T-Line Equipment, Age/Condition - Replace Reactors, Age/Condition - Replace Relays, Age/Condition - Replace Relays, Age/Condition - Replace SCADA/RTU, Age/Condition - Replace Steel Poles, Age/Condition - Replace Switches, Age/Condition - Replace Transformers, Age/Condition - Replace Underground System, Age/Condition - Replace Wood Poles, Age/Condition - Switch Replacement - Steel, Age/Condition - Switch Replacement - Wood, Age/Condition - Targeted Relay Replacement, Age/Condition - Wood Pole Reframe, Animal Abatement, Automation, Bus Upgrade, Compliance - Remove Idle Facilities, Construct Roads/Gates/Culverts, Emergency Response - Fire Related, Emergency Response - Storm Related, Environmental, Facility Relocation, Fire Protection, Generation Interconnection, GO95 Mitigation - Steel, Install SCADA/RTU, Line Reconductoring, Line Termination, Load Interconnection, Modular Protection and Automation Control, NERC CIP Compliance, NERC Compliance - GO 95, New Substation, New T-Line, Priority 1 Steel Structure Replacement, Priority 1 Wood Structure Replacement, Protection NERC Compliance, Relay Upgrades, Replace Breakers, Replace Other Substation Equipment, Replace Transformers, Safety - Line Right of Way Access, SCADA Emergency Replacement, SCADA Switch Installation, Security Upgrades, Seismic Upgrade - Replace Conductor, Special Protection Scheme, Substation Capacity, Substation Reliability, System Design Upgrade, System Operations, Targeted Line Reliability, T-Line Capacity, T-Line Emergency, T-Line Reliability, Tools, Transformer Addition/Replacement, Underground Emergency, Voltage Conversion, Voltage Support. If the secondary purpose is to comply with a NERC, WECC, or CAISO requirement, list the standard, requirement, and/or any contingencies that are being addressed.
11	Last Inspection	The specific date when the last inspection of the asset being replaced or upgraded occurred
12	Age of Asset	What is the age in years of the asset being replaced or upgraded?
13	Types of Analyses	What types of analyses have been performed (e.g. load flow, short circuit, etc.)? List any field test and results.
14	Alternative Solutions and Costs	What alternative solutions to this project were considered? and what were the costs associated with any alternatives?
15	CPUC Fire Threat Zone/Rating	Indicate whether the project is located in Tier 2 or Tier 3 in CPUC's High Fire-Threat Districts (HFTD) or Zone 1 in CalFire/USFS High Hazard Zone (HHZ).
16	Wildfire Related	Indicate whether the project is 1. related to repairing wildfire damage or 2. a measure identified in the Wildfire Mitigation Plan. If it is related to wildfires in some other way, please explain.
17	Other Environmental Factors	What environmental factors in the project's location may affect the length of the asset's service life?
18	Project Manager	Who is the person in charge of the implementation of this project?

19	Transmission Project Size (length in miles)	Miles of transmission power lines included in the project.
20	Substation Project Footprint (acres)	Acres of substation footprint included in the project
21	Transmission Voltage Level (kV)	Use kV for transmission power lines ratings.
22	Substation or Transformer Capacity (MVA and/or kV)	Use MVA and/or kV for substations.
23	Utility Prioritization Code Rank	Using utility prioritization rank
24	Utility Unique ID #1	Most specific (e.g. for SCE = Work Order Number)
25	Utility Unique ID #2	Less specific (e.g. for SCE = Capital Work Breakdown Structure)
26	Utility Unique ID #3	Least specific (e.g. for SCE = Project Identification Number)
27	Changes in Unique IDs	If any of the Unique IDs above changed at any time, please note the date of change and the former ID.
<b>Utility/CAISO Approval and FERC Rate Cases</b>		
28	Utility Approval	Has utility company approved the project? Insert Yes or No.
29	Year of Utility Internal Approval	If utility has approved the project, insert first year of internal approval. If not insert "n/a."
30	Long term Transmission Investment Plan Inclusion	In what year was this project first included in the utility's long-term transmission investment plan?
31	Process(es) for Utility Approval	If utility has approved the project, insert utility approval process for which a description has been provided to the CPUC.
32	CAISO Year	Insert "Year" if approved by CAISO or insert "No".
33	Year(s) when considered in CAISO TPP	If already considered in the CAISO TPP, indicate all of the year(s), not just the year of approval.

34	Year when expected to be considered in CAISO TPP	If not yet considered in the CAISO TPP, indicate year when it is expected to be considered in the CAISO TPP.
35	Link to TPP where project has been considered, approved, and/or expected to be considered	Insert active hyperlink to any TPP where project is considered or expected to be considered.
36	FERC: Year(s)	Insert ALL years when in any FERC rate case, any costs of this project went - or were expected to go - into rate base.
37	FERC Dollars in Rate Base	Insert the year(s) and actual dollars included in rate base on the project for each year. This should include additional costs added to rate base in years after operation first occurred.
<b>CPUC Permit Status</b>		
38	CEQA Status	Include one of the following along with the corresponding date: Expected PEA Completion, PEA Deemed Complete, CEQA Draft Published, CEQA Final Published, Final Certified, TBD, N/A.
39	CEQA/NEPA Document Type	Examples include: IS/ND/MND, EIR, EIR/EIS, MND/EA/FONSI, CatEx, StatEx, no discretionary permit, Other, or N/A (If "Other" or "N/A," include explanation in the "Notes" data field.).
40	CEQA/NEPA Lead Agency	Examples include: CPUC, SWRCB, CSLC, Other, N/A etc. (If "Other" or "N/A," include explanation in the "Notes" data field.).
41	CPUC Filing Type	NOC Advice Letter, 851, PTC, CPCN, Other, or N/A (if Other or N/A include explanation). If Advice Letter (AL) include the number; If Application include number.
42	CPUC Date Filed	Insert "Year" if filed or insert "Not yet filed" with the expected filing date.
43	CPUC Status	Insert "Approved", "Rejected", "To be Filed", "Filed and Under Review," "Other," or "N/A" (If Other or N/A, include explanation in the "Notes" data field.).
44	CPUC Status: Year	Insert Year this CPUC Status was determined.
<b>Project Status</b>		

45	Project Status	Planning, Engineering less than 50% completed, Engineering more than 50% completed, Permitting, Construction (include percentage of construction completed), Operational, On Hold, Canceled, or Abandoned (if On Hold, Canceled, or Abandoned, include explanation in the "Notes" data field. If in Construction, please indicate percentage of completion at the time of this reporting).
46	AACE Class	The current Estimate Class in AACE International's Cost Estimate Classification System at the time of this report.
47	Construction Start Date	On what date did construction begin or is expected to begin?
48	In-Flight Projects	For those projects already under construction, which will go into rate base in the current rate case or in the next rate case filing at FERC?
49	Original Planned In-Service Date	What was the expected in-service date when the project was first approved by the CAISO, or internally by the utility if not approved by the CAISO? This value should remain static across these reports.
50	Current Projected or Actual In-Service Date	As of the reporting of this dataset
51	Reason for Change in In-Service Date	If the current projected or actual in-service date varies more than six months from the original in-service date (i.e. slippage or advancement), please explain the reason for this variation.
<b>Costs</b>		
52	Original Projected Cost or Cost Range (\$000)	Forecasted cost or forecasted cost range at time of CAISO, CPUC, and/or internal approval. This value can be a range of no more than \$20 million for the public version of this report. For the confidential version this should be a specified amount.
53	Cost Cap (\$000)	Insert Cost Cap on project if available, as well as the authority imposing the cost cap. This should include any maximum reasonable cost established in a CPUC proceeding.
54	Current Projected Total or Actual Final Cost (\$000)	Updated projected total or actual final cost of project. For projected cost, this value can be a range of no more than \$10 million for the public version of this report. For the confidential version the projected final cost should be a specified amount. Actual cost should be the fully-loaded final cost after the entire project is operational. Operational projects and all data must remain in this report for at least 4 years.
55	Projected Capital Expenditures (\$000)	For the current year and future 4 years, provide the year-by-year forecasted capital expenditures for the project.
56	Actual Capital Expenditures (\$000)	These should be expressed year-by-year for the prior 4 years.

57	Percentage of Bid	Percentage (by current projected or actual cost) of project performed by outside developer having successfully bid on project, as opposed to the project being performed solely by the incumbent utility.
58	Percentage of Work Requested by Others Passed onto Ratepayers	If the project is work requested by others, what percentage of cost has been - or is expected to be - passed onto ratepayers? If the dollar amount being passed onto ratepayers is fixed, then this number can be expressed as a dollar amount.
59	Benefit-Cost Analysis	What is the B/C ratio if calculation performed?
60	Cost Spent on Construction Work in Progress (\$000)	Total amount of money that has been spent so far for the project through the last calendar year
61	FERC Incentives	List any project-specific transmission incentives granted under FERC Order No. 679.
62	% Cost in High Voltage TAC	Insert % of project cost recovered by high-voltage TAC.
63	% Cost in Low Voltage TAC	Insert % of project cost recovered by low-voltage TAC.
<b>Notes</b>		
64	Notes	Any additionally requested information or other needed details about the project that were not otherwise covered

**ATTACHMENT 2**  
**SCHEDULE FOR THE STAKEHOLDER REVIEW PROCESS**

## **SCHEDULE FOR THE STAKEHOLDER REVIEW PROCESS**

To the extent a date identified in this schedule conflicts with the timelines established in Sections 2, 3 or 4 of the Process, the applicable Section of the Process controls. SCE and Stakeholders may by mutual agreement modify any date identified in this schedule.

- December 1: SCE provides Section 2.1 materials to Stakeholders.
- December 2: Time period for Stakeholder informational requests and comments begins on the Section 2.1 materials, including, but not limited to Specific Projects, Programmatic Projects, and Blanket-Specific Programs. Stakeholders may also begin providing comments to SCE.
- January 15: Time period for Stakeholder informational requests and comments on the Section 2.1 materials ends.
- February 1: SCE will make a good faith effort to provide written responses to all Stakeholder informational requests and comments by this date.
- March 1: SCE hosts Stakeholder meeting which will include review of SCE's Project Data Spreadsheet, objectives, assumptions, and deliverables for the current year and the opportunity for Stakeholders to suggest new Projects or Project alternatives. SCE will also report on the prior year's Five-Year Plan and identify and explain modifications to key factors/assumptions relied upon in the prior year. During the course of this meeting, SCE will respond to January 15 Stakeholder comments and questions.
- March 16: Last day for Stakeholders to provide comments and questions resulting from the March 1 Stakeholder Meeting.
- April 2: SCE will make a good faith effort to provide written responses to Stakeholder comments and questions resulting from the March 1 Stakeholder Meeting by this date.
- July 1: SCE provides updated Section 2.1 materials to Stakeholders.
- July 2: Stakeholder informational requests and comments begins on the updated Section 2.1 materials, including, but not limited to Specific Projects, Programmatic Projects, and Blanket-Specific Programs. Stakeholders may also begin providing comments to SCE.

- August 15: Second round of Stakeholder informational requests and comments on Section 2.1 materials ends.
- September 1: SCE will make a good faith effort to provide written responses to all Stakeholder informational requests and comments by this date.
- September 30: SCE hosts Stakeholder meeting to address Stakeholder comments and questions on the updated Section 2.1 materials.
- October 15: Last day for Stakeholders to provide comments and questions resulting from the September 1 Stakeholder Meeting.
- October 30: SCE will make a good faith effort to provide written responses to Stakeholder comments and questions resulting from the September 1 Stakeholder Meeting by this date.
- December 1: SCE provides Section 2.1 materials to Stakeholders.

**ATTACHMENT 3**  
**NON-DISCLOSURE AGREEMENT**

## STAKEHOLDER REVIEW PROCESS NON-DISCLOSURE AGREEMENT

1. This Non-Disclosure Agreement (“Agreement”) shall govern the use of all Privileged Materials produced between and among Southern California Edison Company (“SCE”) and Stakeholders pursuant to the tariff approved by the Federal Energy Regulatory Commission (“FERC”) establishing the Stakeholder Review Process (“Process”). This Agreement shall remain in effect until all Privileged Materials are returned to the producing Participant or destroyed by the receiving Participant.
2. A Participant producing the Privileged Materials shall physically mark them on each page as “PRIVILEGED MATERIALS,” or with words of similar import as long as the term “Privileged Materials” is included in that designation, to indicate that they are Privileged Materials. This Agreement applies to the following two categories of Privileged Materials:
  - (a) Materials that customarily are treated by the Participant as sensitive, private, proprietary or otherwise confidential, that are not available to the public, and that, if disclosed freely, would subject that Participant or its customers to a risk of competitive disadvantage, breach of confidentiality requirements or commitments, or other business injury.
  - (b) Materials that contain critical energy infrastructure information, as defined in 18 CFR § 388.113(c)(1) (“Critical Energy Infrastructure Information”), or successor regulation.
3. Definitions -- For purposes of this Agreement:
  - (a) The term “Non-Disclosure Certificate” means the certificate annexed hereto by which Participants who have been granted access to Privileged Materials shall certify their understanding that such access to Privileged Materials is provided pursuant to the terms and restrictions of this Agreement, and that such Participants have read the Agreement and agree to be bound by it.
  - (b) The term “Participant” means SCE and/or the Stakeholders.
  - (c)(1) The term “Privileged Materials” means any of the following:
    - (A) Materials provided by a Participant pursuant to the Process and designated by the producing Participant as Privileged Materials in accordance with Paragraph 2.
    - (B) Any information contained in or obtained from such designated Privileged Materials.
    - (C) Notes of Privileged Materials.
    - (D) Copies of Privileged Materials.

- (2) The term “Notes of Privileged Materials” means memoranda, handwritten notes, or any other form of information (including an electronic form) that copies or discloses Privileged Materials described in Paragraph 3(c)(1).
- (3) The definition of the term “Privileged Materials” does not include any of the following:
  - (A) Any information or document that has been filed with and accepted into the public files of FERC or is contained in the public files of any other federal agency, state agency, federal court, or state court, unless the information or document has been determined to be protected by such agency or court.
  - (B) Information that is public knowledge or that becomes public knowledge, other than through disclosure in violation of this Agreement.
- (4) The term “Privileged Materials” includes any information or document contained in the files of FERC that has been designated by FERC as Critical Energy Infrastructure Information.
  - (d) The term “Reviewing Representative” means a person who has signed a Non-Disclosure Certificate or who may otherwise review Privileged Materials pursuant to Section 6 below.
  - (e) The term “Stakeholder” has the same meaning as provided in the Process Tariff.
4. Access to Privileged Materials shall be limited pursuant to Paragraph 6. Privileged Materials shall be treated as confidential by each Participant. However, Reviewing Representatives may make copies of Privileged Materials and may make notes of Privileged Materials. Privileged Materials, including, without limitation, any information that can reasonably be used to identify an individual, consumer, family, household, residence or non-residential customer, shall be maintained in a secure place and protected by each Participant using reasonable security procedures and practices to protect such information from unauthorized access, use, modification or disclosure, including, without limitation, encryption of the Privileged Materials, password-protected workstations, and documented training of all persons with access to the Privileged Materials. Under no circumstances shall any Participant receiving Privileged Materials sell or obtain any consideration for transfer of the Privileged Materials to any third party.
5. If a Reviewing Representative’s scope of employment includes the marketing of energy, the direct supervision of any employee or employees whose duties include the marketing of energy, the provision of consulting services to any person whose duties include the marketing of energy, or the direct supervision of any employee or employees whose duties include the marketing of energy, that Reviewing Representative shall not use information contained in any Privileged

Materials obtained through this proceeding to give any Participant or any competitor of any Participant a commercial advantage.

6. (a) Except as provided in Paragraph 6(b) or 6(c), a Reviewing Representative shall not be permitted to inspect, participate in discussions regarding, or otherwise be permitted access to Privileged Materials pursuant to this Agreement unless that Reviewing Representative has first executed a Non-Disclosure Certificate. A copy of each executed Non-Disclosure Certificate shall be provided to counsel for the Participant asserting confidentiality prior to disclosure of any Privileged Material to that Reviewing Representative. SCE shall maintain a list of all Participants and their contact information that is readily available to all Stakeholders for these notification purposes.
  - (b) If an attorney qualified as a Reviewing Representative has executed a Non-Disclosure Certificate, the paralegals, secretaries, and clerical personnel under the attorney's supervision or control do not need to execute a Non-Disclosure Certificate. Attorneys qualified as Reviewing Representatives are responsible for ensuring that such persons under their supervision or control comply with this Agreement.
  - (c) A Reviewing Representative of the California Public Utilities Commission ("CPUC") that has executed a Non-Disclosure Certificate may share Privileged Materials with any CPUC Commissioner, Commissioner's Advisor, or other CPUC staff as occurs in the normal course of confidential communications within the CPUC ("CPUC Personnel") and those CPUC Personnel do not need to execute a Non-Disclosure Certificate. Reviewing Representatives of the CPUC are responsible for ensuring that CPUC Personnel comply with this Agreement.
  - (d) If Privileged Materials are provided to CPUC Personnel pursuant to Paragraph (6)(c), CPUC Personnel receiving the Privileged Materials shall be informed of the terms of this Agreement and that they are to maintain the Privileged Materials as confidential, consistent with the terms of this Agreement. In addition, all Privileged Materials provided to CPUC Personnel shall be marked to indicate that Privileged Materials are being provided subject to the terms of this Agreement.
7. Any Reviewing Representative may disclose Privileged Materials to any other Reviewing Representative if the disclosing Reviewing Representative and the receiving Reviewing Representative both have executed a Non-Disclosure Certificate. If any Reviewing Representative to whom Privileged Materials are disclosed ceases to be engaged in the Process, access to Privileged Materials by that person shall be terminated. Even if no longer engaged in the proceeding, every person who has executed a Non-Disclosure Certificate shall continue to be bound by the provisions of this Agreement and the certification.
  8. Nothing in this Agreement shall be deemed to preclude any Participant from independently seeking through discovery in any administrative or judicial proceeding information or materials

produced under this Agreement.

9. No Participant waives the right to pursue any other legal or equitable remedies that may be available in the event of actual or anticipated disclosure of Privileged Materials.
10. Nothing in this Agreement precludes a Participant from challenging the designation of any material as Privileged Materials.
11. This Agreement shall be governed and construed according to the laws of the State of California. Participants agree to comply with all federal, state, and local laws governing the protection of the Privileged Materials and all applicable laws, rules, and regulations protecting consumer privacy, including, without limitation, the California Consumer Privacy Act.

End

NON-DISCLOSURE CERTIFICATE

I hereby certify my understanding that access to Privileged Materials is provided to me pursuant to the terms and restrictions of the Non-Disclosure Agreement in this proceeding, that I have been given a copy of and have read the Non-Disclosure Agreement, and that I agree to be bound by it. I understand that the contents of the Privileged Materials, any notes or other memoranda, or any other form of information that copies or discloses Privileged Materials, shall not be disclosed to anyone other than in accordance with that Non-Disclosure Agreement.

By: \_\_\_\_\_

Printed Name: \_\_\_\_\_

Title: \_\_\_\_\_

Representing: \_\_\_\_\_

Date: \_\_\_\_\_

Email: \_\_\_\_\_